

# Elliptic Spaces with maximal total rank

(Work in progress w/ Mark Walker.)

"Hilali conj."

## REFERENCES

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Total rank conj

for "elliptic spaces"

algebraic results

(4. is a v. condensed version of 5.)

Walker:

Related to the "B-E-H" conjecture (see 4.)

**Theorem 1.** Let  $R$  be a commutative Noetherian ring such that  $\text{Spec}(R)$  is connected and let  $M$  be a non-zero, finitely generated  $R$ -module of finite projective dimension. Assume either

- (1)  $R$  is locally a complete intersection<sup>1</sup> and  $M$  is 2-torsion free, or
- (2)  $R$  has characteristic  $p$  for an odd prime  $p$ .

Then for any finite projective resolution

$$0 \rightarrow P_d \rightarrow \cdots \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$$

of  $M$  we have

$$\sum_i \text{rank}_R(P_i) \geq 2^c,$$

where  $c = \text{height}_R(\text{ann}_R(M))$ , the height of the annihilator ideal of  $M$ .

**THEOREM 2.** Assume  $(R, \mathfrak{m}, k)$  is a local (Noetherian, commutative) ring of Krull dimension  $d$  and that  $M$  is a nonzero  $R$ -module of finite length and finite projective dimension. If either

- (1)  $R$  is the quotient of a regular local ring by a regular sequence of elements and 2 is invertible in  $R$ , or
- (2)  $R$  contains  $\mathbb{Z}/p$  as a subring for an odd prime  $p$ ,

then  $\sum_i \beta_i(M) \geq 2^d$ .

Moreover, if the assumptions in (1) hold and  $\sum_i \beta_i(M) = 2^d$ , then  $M$  is isomorphic to the quotient of  $R$  by a regular sequence of  $d$  elements.

From pre-print:

involved in proving Th.1 + Th.2 above.

With suitable modifications, the proof of Theorem [6] establishes the following analogue for dg-modules over graded rings:

**Theorem 7.** Let  $R$  be the (cohomologically) graded ring  $k[t_1, \dots, t_d]$  where  $k$  is a field with  $\text{char}(k) \neq 2$  and  $t_1, \dots, t_d$  are variables of strictly positive, even degree. If  $F$  is a semi-free dg- $R$ -module having non-zero homology of finite dimension over  $k$ , then

$$\sum_i \dim_k H_i(F \otimes_R R/(t_1, \dots, t_d)) \geq 2^d \cdot \frac{|\sum_i (-1)^i \dim_k(H_i(F))|}{\sum_i \dim_k(H_i(F))}.$$

Then, to topology (Total rank conj. vs. Toral rank conj.)

As an application of Theorem [7], we address the rational cohomology of spaces admitting (almost) free torus actions. Let  $T$  be a torus of dimension  $d$ ,

$$T = \overbrace{S^1 \times \dots \times S^1}^d,$$

regarded as a topological group. One says that  $T$  acts (almost) freely on a space  $X$  if there is a continuous action of  $T$  on  $X$  such that the stabilizer of each point of  $X$  is a finite subgroup of  $T$ . The Toral Rank Conjecture of Halperin [28] predicts that if  $T$  acts almost freely on a simply connected, compact CW complex  $X$ , then

$$\sum_j \dim_{\mathbb{Q}} H^j(X, \mathbb{Q}) \geq 2^d.$$

(free if trivial.)

"total rank  $h(X)$ "

note:

$$\dim(H^*(T; \mathbb{Q})) = 2^d$$

an exterior algebra on  $d$  generators of degree 1.

move from preprint.

This ratio is  $\leq 1$  and is often zero.

The following consequence of Theorem 7 represents partial progress towards a proof of the Toral Rank Conjecture.

**Corollary 8.** Suppose a  $d$ -dimensional torus  $T$  acts (almost) freely on a simply connected, compact CW complex  $X$  and let  $X/T$  denote the quotient space. Then

$$\sum_i \dim_{\mathbb{Q}} H^i(X, \mathbb{Q}) \geq 2^d \cdot \frac{|\sum_i (-1)^i \dim_{\mathbb{Q}} H^i(X/T, \mathbb{Q})|}{\sum_i \dim_{\mathbb{Q}} H^i(X/T, \mathbb{Q})} \quad \left| \frac{\chi(X/T)}{h(X/T)} \right|$$

In particular, if the non-zero rational cohomology of  $X/T$  is concentrated in even degrees, then the Toral Rank Conjecture holds for  $X$ .

As an example, suppose  $X$  is a simply connected, rationally elliptic space; the latter condition means that  $\sum_q \dim_{\mathbb{Q}} \pi_q(X)_{\mathbb{Q}} < \infty$ , where  $\pi_q(X)_{\mathbb{Q}}$  denotes the  $q^{\text{th}}$  rational homotopy group of  $X$ . Suppose also that a  $d$ -dimensional torus  $T$  acts almost freely on  $X$  and assume that

$$\chi_{\pi}(X) := \sum_i (-1)^i \dim_{\mathbb{Q}} \pi_i(X)_{\mathbb{Q}} = -d.$$

(This is the largest possible value: in general, given such a torus action on such a space  $X$ , one has  $\chi_{\pi}(X) \leq -d$ ; see [24, 7.13].) It follows [24, 2.75] that the rational cohomology of  $X/T$  is concentrated in even degrees and thus, by Corollary 8, the Toral Rank Conjecture holds in this situation.

for elliptic  $X$ , total rank is no larger than  $-\chi_{\pi}(X)$ , (see below).

Direct Results (not following from algebraic results.)

**Proposition 1.1.** Let  $X$  be an elliptic space with homotopy Euler characteristic  $\chi_{\pi}(X) = -n$ . If the toral rank of  $X$  is  $n$ , then the minimal model  $(\wedge V, d)$  of  $X$  is two-stage (pure) and satisfies  $d(V^{\text{even}}) = 0$  and  $d(V^{\text{odd}}) \subseteq \wedge(V^{\text{even}})$ .

as in Mark's ex.: total rank is maximal.

i.e., an elliptic space with maximal total rank is of a very specialized form.

With this observation made, it is possible to obtain the corollary above directly (and in most cases to improve the lower bound on the cohomology by a factor of 2 at the same time).

**Proposition 1.2.** Let  $X$  be an elliptic space with minimal model  $(\wedge V, d)$  a two-stage (pure) model that satisfies  $d(V^{\text{even}}) = 0$  and  $d(V^{\text{odd}}) \subseteq \wedge(V^{\text{even}})$ . Suppose we have  $\dim(V^{\text{even}}) = k$  and  $\dim(V^{\text{odd}}) = k+n$ , for some  $n \geq 0$ , so that  $\chi_\pi(X) = -n$ . If  $k = 0$  (so that  $X$  has only odd-degree non-zero rational homotopy), then we have  $\dim(H^*(X; \mathbb{Q})) = 2^n$ . Otherwise, i.e., if  $k \geq 1$ , we have  $\dim(H^*(X; \mathbb{Q})) \geq 2^{n+1} = 2 \cdot 2^n$ .

Elliptic: minimal model  $m_X = \wedge V, d$

with  $V$  f.d and  $H(\wedge V, d)$  f.d.

Then  $m_X = \wedge(\underbrace{u_1, \dots, u_k}_{k \text{ even degree}}, \underbrace{v_1, \dots, v_{n+k}}_{n+k \text{ odd degree}}), d$

Then  $\chi_\pi = -n = -(\text{"excess odd degree gens. over even deg. gens."})$  | Fact: elliptic space entails no more even gens. than odd gens.

And total rank is at most  $n$ . ( $= -\chi_\pi$ )

So total rank conj. predicts  $h(X) \geq 2^n$  under hypotheses of Prop. 1.2

- Proof is by a straightforward s.s. argument.

- Also: Hilali conjecture: Elliptic space:  $h(X) \geq \text{total dim. of } \pi_*(X) \otimes \mathbb{Q} = \# \text{ of gens. in } m_X$

**Proposition 1.3.** Let  $X$  be an elliptic space with minimal model  $(\wedge V, d)$  a two-stage (pure) model that satisfies  $d(V^{\text{even}}) = 0$  and  $d(V^{\text{odd}}) \subseteq \wedge(V^{\text{even}})$ . Suppose we have  $\dim(V^{\text{even}}) = k$  and  $\dim(V^{\text{odd}}) = k+n$ , for some  $n \geq 0$ , so that  $\chi_\pi(X) = -n$ . If  $k = 0$  (so that  $X$  has only odd-degree non-zero rational homotopy), then we have  $\dim(H^*(X; \mathbb{Q})) = 2^n$ . Otherwise, i.e., if  $k \geq 1$ , we have  $\dim(H^*(X; \mathbb{Q})) \geq 2(k+n) = \dim(\pi_*(X) \otimes \mathbb{Q}) + n$ .

$(k+k+n)$  = # of generators +  $n$   
 (Proof of this uses a straightforward "Gysin sequence" argument.)

Exs.  $m_x = \wedge (u_1, u_2, v_1, v_2, v_3)$

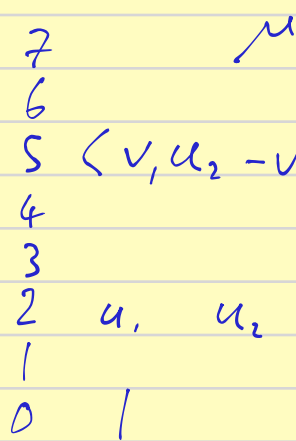
$\begin{matrix} 2 & 2 & 3 & 3 & 3 \\ \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\ \underbrace{u_1, u_2}_{d=0} & & v_1 & v_2 & v_3 \\ & & \downarrow & \downarrow & \downarrow \\ & & u_1^2 & u_1 u_2 & u_2^2 \end{matrix}$

total rank = 1

# of gens = 5.

$\chi_\pi = -1$

$H^*(x; \mathbb{Z}) =$



$h(x) = 6$  TRC  $\geq 2^1$   
Hilali  $\geq 5$

(predictions from conjectures)

Ex.  $m_x = \wedge (v_1, \dots, v_n), d=0$  with  $|v_i|$  odd.

(in Props. 1.2, 1.3,  $k=0, n=n$ )

Then  $h(x) = 2^n$ . TRC:  $\geq 2^n$  (sharp)

Hilali:  $\geq n$  (low ball)

Ex.  $m_x = \wedge (u_1, \dots, u_k, v_1, \dots, v_k), d$

$|u_i|$  even

and  $d v_i = u_i^2$

$|v_i| = 2|u_i| - 1$

( $X$  is a product of even-dimensional spheres)

(in Props. 1.2, 1.3,  $k=k, n=0$ ).

$h(x) = 2^k$ . TRC:  $2^0 = 1$  (low ball!)

Hilali:  $2k$ . (low ball)